

RESOLUTION NO. \_\_\_\_\_

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF PASADENA RELATING TO THE CALIFORNIA MODEL CONFLICT OF INTEREST CODE**

**WHEREAS**, the Board of Directors of the City of Pasadena adopted the standard model Conflict of Interest Code promulgated by the Fair Political Practices Commission by Resolution No. 5069 on February 21, 1984; and

**WHEREAS**, Resolution No. 5069 was amended by Resolution No. 6054 on December 12, 1988, by Resolution No. 6162 on June 20, 1989, by Resolution No. 6653 on January 7, 1992, by Resolution No. 6838 on December 8, 1992, by Resolution No. 7062 on February 8, 1994, by Resolution No. 7131 on June 6, 1994, by resolution 7212 on February 6, 1995, by Resolution No. 7330 on January 8, 1996, by Resolution No. 7458 on January 27, 1997, by Resolution No. 7588 on February 9, 1998, by Resolution No. 7703 on December 7, 1998, by Resolution No. 7961 on January 8, 2001, by Resolution No. 8200 on December 16, 2002 by Resolution No. 8429 on January 10, 2005, by Resolution No. 8436 on February 28, 2005, by Resolution No. 8693 on December 18, 2006, by Resolution 8716 on March 19, 2007, by Resolution 8915 on December 15, 2008 by Resolution No. 9080 on December 13, 2010, by Resolution No. 9407 on December 15, 2014, by Resolution No. 9415 on February 2, 2015, by Resolution No. 9541 on December 12, 2016, by Resolution No. 9700 on December 17, 2018; by Resolution No. 9822 on December 14, 2020, by Resolution No. 9966 on December 5, 2022, and by Resolution No. 9973 on January 23, 2023; and

**WHEREAS**, there is now a need to correct the disclosure categories as related to the Rental Housing Board and thereby amend Appendix B – Disclosure Categories.

**NOW, THEREFORE, BE IT RESOLVED** by the City Council of the City of Pasadena as follows:

1. Appendix A -- Designated Employees, attached hereto, remains unchanged.
2. Appendix B -- Disclosure Categories, attached hereto, is adopted for inclusion in the City's Conflict of Interest Code.
3. This resolution shall become effective immediately.

Adopted at the regular meeting of the City Council on the \_\_\_\_\_ day of March, 2023, by the following vote:

AYES:

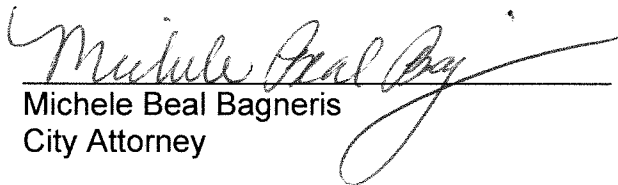
NOES:

ABSENT:

ABSTAIN:

\_\_\_\_\_  
Mark Jomsky, CMC  
City Clerk

Approved as to form:

  
\_\_\_\_\_  
Michele Beal Bagneris  
City Attorney

# PASADENA CONFLICT OF INTEREST CODE

## APPENDIX A

### DESIGNATED EMPLOYEES, COMMISSIONERS AND CONSULTANTS

<u>Positions</u>	Disclosure Categories ( <u>Appendix B</u> )
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#### CITY ATTORNEY/CITY PROSECUTOR DEPARTMENT

All Attorneys	1, 2
Risk and Insurance Administrator	1, 2
Principal Administrative Analyst	1, 2
Senior Administrative Analyst	6

#### CITY CLERK

City Clerk	6
Sr. Assistant City Clerk	6
Deputy City Clerk	6
Assistant City Clerk	6
Management Analyst V (Records Manager)	6
City Council District Liaisons	1, 2

#### CITY COUNCIL/MAYOR'S OFFICE

Administrative Analyst	6
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#### CITY MANAGER'S OFFICE

City Manager	1, 2
Assistant City Manager	1, 2
Public Information Officer	1, 2
Economic Development Director	1, 2

<u>Positions</u>	<u>Disclosure Categories (Appendix B)</u>
Senior Internal Auditor	1, 2
Internal Audit Manager	1, 2
Senior Project Manager	1, 2
Project Manager	1, 2
Real Property Manager	1, 2, 6
Assistant to the City Manager	1, 2
Management Analyst IV	2, 3
Management Analyst III	2, 3
Management Analyst II	2, 3
Management Analyst I	2, 3
Program Coordinator III	2, 11
Program Coordinator II	2, 11
CSD Administrator	1, 2
CSD Coordinator	1, 2
<u>DEPARTMENT OF INFORMATION TECHNOLOGY</u>	
Chief Information Officer	1, 2
Assistant Chief Information Officer	6
Telecommunications & Regulatory Administrator	6
All IT Supervisors	6
All IT Managers	6
IT Security Officer	6

Positions

Disclosure Categories  
(Appendix B)

FINANCE DEPARTMENT

Director of Finance	1, 2
Deputy Director of Finance/ City Treasurer	1, 2
Controller	1, 2
Senior Executive Assistant	4, 5
Municipal Services Manager	4, 5
Purchasing Administrator	4
Budget Administrator	1, 2
Central Services Supervisor (Print Shop)	4, 6
Principal Administrative Analyst	4, 5
Management Analyst IV	4, 5
Senior Administrative Analyst	4, 5
Management Analyst III	4, 5
Payroll Services Manager	6
Project Manager, Purchasing	4, 5
Fiscal Services Administrator	3

FIRE DEPARTMENT

Fire Chief	1, 2
Fire Battalion Chief	5, 6
Deputy Fire Chief	5, 6
Hazardous Materials Specialist	5, 6
Management Analyst V	6

Positions

Disclosure Categories

(Appendix B)

Plans Examiner	2, 3, 5
Supervising Fire Inspector	1, 2, 5
Fire Inspector	2, 3, 5
Deputy Fire Marshal	2, 3, 5
Senior Fire Inspector	2, 3, 5

HOUSING DEPARTMENT

Housing Director	1, 2
Housing Assistance Officer	1, 2, 5
Senior Project Manager	1, 2
Housing Specialist	2, 3
Work Experience Supervisor II	2, 3
Work Experience Supervisor	2, 3
Management Analyst IV	2, 11
Program Coordinator III	2, 11
Program Coordinator II	2, 11
Program Coordinator I	2, 11

HUMAN RESOURCES DEPARTMENT

Director of Human Resources	1, 2
Human Resources Manager	1, 2
Principal HR Analyst	1, 2
Senior HR Analyst	1, 2
Management Analyst IV	1, 2

Positions

Disclosure Categories

(Appendix B)

Benefits Supervisor	1, 2
Safety Officer	6
Worker's Compensation Supervisor	6

LIBRARIES AND INFORMATION SERVICES

Director, Library and Information Services	1, 2
Deputy Director, Library and Information Services	6
Principal Librarian	6
Program Coordinator III	6
Program Coordinator II	6
Management Analyst III	6

PARKS, RECREATION AND COMMUNITY SERVICES

Director of Parks, Recreation and Community Services	1, 2, 5
Deputy Director of Parks, Recreation and Community Services	1, 2, 5
Recreation and Community Services Administrator	1, 2
Parks Administrator	1, 2
Executive Assistant	1, 2
Management Analyst V	1, 2
Management Analyst IV	6

Positions

Disclosure Categories  
(Appendix B)

Management Analyst I / II	2, 3
Recreation and Community Services Superintendent	2, 5
Program Coordinator I	2, 5
Parks Superintendent	2, 5
Recreation Coordinator	2, 5
Crew Supervisor II	2, 5

PLANNING AND DEVELOPMENT DEPARTMENT

Director of Planning and Community Development	1, 2, 5
Deputy Director of Planning and Community Development	1, 2, 5
Building Official	1, 2, 5
Management Analyst IV	2, 3
Management Analyst II	2, 3
Management Analyst I	2, 3
Principal Planner	2, 3, 4, 5
Principal Planner / Zoning Administrator	2, 3, 4, 5
Senior Planner	2, 3, 4
Planning Manager	2, 3, 4
Associate Planner	2, 3, 4
Assistant Planner	2, 3, 4
Planning Technician	2, 3, 4

Positions

Disclosure Categories  
(Appendix B)



Neighborhood & Business Services Administrator	2, 3, 4
Permit Services Manager	2, 3, 5
Program Coordinator III	6
Program Coordinator II	6
Planner	2, 3, 4
Senior Building Inspector	2, 3, 5
Building Inspector	2, 3, 5
Building Inspection Supervisor	1, 2, 5
Senior Plans Examiner	2, 3, 5
Plans Examiner	2, 3, 5
Code Compliance Manager	2, 3, 5
Senior Code Compliance Officer	2, 3, 5
Code Compliance Officer	2, 3, 5
Cultural Affairs Manager	1, 2
Senior Project Manager	1, 2
Supervising Plan Check Engineer	2, 3, 5
Permit Services Specialist	2, 3
Management Analyst I (Film)	2, 3

POLICE DEPARTMENT

Police Chief	1, 2
Deputy Police Chief	1, 2

Positions

Disclosure Categories  
(Appendix B)

Police Commander	5, 6
Executive Administrator	5, 6
Management Analyst V	2, 3
Management Analyst II	2, 3

PUBLIC HEALTH DEPARTMENT

Director of Public Health	5, 6
Health Officer	5, 6
Deputy Director of Public Health	5, 6
Public Health Division Manager/ Environmental Health Services	5, 6
Public Health Division Manager/ Epidemiology & Disease Manager	5, 6
Public Health Division Manager/ Nursing Services	5, 6
Public Health Division Manager/ Social and Mental Health Services	5, 6
Deputy Registrar, Vital Records	5, 6
Public Health Division Manager/ Health Policy and Promotion Development	5, 6
Public Health Nurse Manager	5, 6
Environmental Health Specialist	5
Licensed Clinical Social Worker	5, 6

Positions

Disclosure Categories  
(Appendix B)

W.I.C. Program Manager	6
Management Analyst V	5, 6
Program Manager	5, 6
Management Analyst III	5, 6
Epidemiology Analyst	5, 6
Management Analyst II	5, 6
Management Analyst I	5, 6
Program Coordinator II	6
Program Coordinator I	6

DEPARTMENT OF PUBLIC WORKS

Director of Public Works	1, 2
Deputy Director of Public Works/ City Engineer	1, 2
Public Works Administrator	2, 3, 4
Work Experience Supervisor II	2, 3
Work Experience Supervisor I	2, 3
Assistant City Engineer	2, 3, 6
Principal Engineer	2, 3, 6
Engineer	5, 6
Associate Engineer	2, 3
Management Analyst V	2, 3, 6
Management Analyst IV	6
311 Call Center Manager	6

Positions

Disclosure Categories  
(Appendix B)

Sr. Code Compliance Officer	1, 2
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Program Coordinator II	5
Program Coordinator I	5
Stormwater Program Administrator	5, 6
Project Manager	5, 6
Equipment Mechanic Supervisor	6
Maintenance Inspector	6
Management Analyst III	6
Sr. Project Manager	6
Project Manager	6
Landscape Architect	6
Public Works Crew Supervisor I, II	2, 3
Sr. Street Light & Signal Mechanic	5
Public Works Superintendent (Electrical)	2, 3
Public Works Superintendent	2, 3
Construction Inspector I, II	5
Survey Party Chief	5
Facilities Maintenance Coordinator	6
Management Analyst II	6
Management Analyst I	6

Positions

Disclosure Categories  
(Appendix B)

TRANSPORTATION DEPARTMENT

Director of Transportation	1, 2
Deputy Director of Transportation	1, 2
Transportation Services Manager	2, 3, 6
Principal Engineer	2, 3, 6
Senior Planner	2, 3, 4
Parking Manager	2, 3, 6
Assistant Engineer	2, 3, 4
Planner	2, 3, 4
Associate Planner	2, 3, 4
Engineer	2, 3, 4
Associate Engineer	2, 3, 4
Management Analyst V	6
Management Analyst IV	6
Management Analyst III	6
Management Analyst II	6
Program Coordinator II	6

WATER AND POWER DEPARTMENT

General Manager	1, 2
Assistant General Manager	1, 2
Customer Services Manager	5, 6

Positions

Disclosure Categories  
(Appendix B)

Water Operations & Treatment Manager	5, 6
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Power Distribution Manager	5, 6
Power Plant Manager	5, 6
Power Plant Supervisor	5, 6
Power Dispatching Supervisor	5, 6
Power Distribution Supervisor	5, 6
Power Distribution Section Supervisor	5, 6
Manager of Planning & Analysis	5, 6
IT Security Officer	5, 6
Power Plant Maintenance Crew Supervisor	5, 6
Principal Engineer	5, 6
Principal Power Dispatcher	5, 6
Energy Trader	5, 6
Principal Chemist	5, 6
Project Manager	5, 6
Power Engineering Manager	5, 6
Capital Projects Manager	5, 6
Utility Operational Technology Manager	5, 6
Engineering Manager	5, 6
Engineer	5, 6
Principal Engineering Technician	5, 6
Electrical Engineer	5, 6

Positions

Disclosure Categories  
(Appendix B)

IT Manager	5, 6
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Financial Rate Analyst	5, 6
Principal Electrical Engineer	5, 6
Management Analyst IV Settlements Analyst	5, 6
Management Analyst III – Power Supply	5, 6
Management Analyst III – Power Delivery	5, 6
Management Analyst III – Water Delivery	5, 6
Management Analyst V	5, 6
Management Analyst IV	6
Customer Relations Manager	5, 6
Customer Program Manager	5, 6
Senior Customer Program Analyst	5, 6
Materials and Warehouse Manager	5, 6
Water Quality Manager	5, 6
Wholesale Operations Manager	5, 6
Power Resource Planning Manager	5, 6
Database Administrator	5, 6
Power Resource Planner II	5, 6
Power Resource Planner I	5, 6
Principal Power Resource Planner	5, 6
Principal Financial / Rate Analyst	5, 6

Positions

Disclosure Categories  
(Appendix B)

ADVISORY BODIES, ALL MEMBERS

Arts and Culture Commission	10
Code Enforcement Commission	2, 3
Community Police Oversight Commission	2, 3
Design Commission	1, 2
Environmental Advisory Commission	12
Hahamongna Watershed Park Advisory Committee	7, 16
Historic Preservation Commission	1, 2
Human Relations Commission	1, 2
Human Services Commission	11
Northwest Commission	11
Old Pasadena Parking Meter Zone Parking Advisory Commission	15
Planning Commission	1, 2
Recreation and Parks Commission	7, 16
Senior Commission	17
South Lake Parking Place Commission	8

ROSE BOWL OPERATING COMPANY

All Members of its Board of Directors	14
Chief Executive Officer	14
General Manager	14
Chief Operations Officer	14

Positions

Disclosure Categories  
(Appendix B)

Chief Revenue Officer	14
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Chief Financial Officer	14
Chief Development Officer	14
Director of Events	14
Senior Director of Staffing and Engagement	14
Senior Director of Accounting	14
Director of Golf Operations	14
Director of Field Operations	14
Field Superintendent I	14
Director of Facility Planning and Design	14
Director of Sales	14
Director of Major Gifts	14
Chief Compliance Officer	14
Director of Communications	14
Director of Operations	14

PASADENA CENTER OPERATING COMPANY

All Members of its Board of Directors	9
Chief Executive Officer	9
Executive Directors	9
Director of Finance	9
Human Resources Director	9

Positions

Disclosure Categories  
(Appendix B)

PASADENA COMMUNITY ACCESS CORPORATION

All Members of its Board of Directors	13
Executive Director	13

DEFERRED COMPENSATION OVERSIGHT COMMITTEE

All Members of the Committee	18
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FIRE AND POLICE RETIREMENT SYSTEM BOARD

All Members of the Board	18
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RENTAL HOUSING BOARD

All Members of the Board	19
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CONSULTANTS TO CITY

With respect to Consultants, the awarding authority shall, before awarding a contract or issuing a purchase order, determine if the services to be rendered:

1. Relate to a specific project and the Consultant conducts research and arrives at conclusions with respect to his or her rendition of information, advice, recommendation or counsel independent of the control and direction of the City or any city official other than normal contract monitoring and the consultant possesses no authority with respect to any City decision beyond the rendition of information, advice, recommendation or counsel.
2. Relate to the daily decision-making process of the City and the Consultant plays a role in the actual decision-making process of the City.

If it is determined that the Consultant's services fall into the latter category, the awarding authority shall review the consultant's duties and make a determination of the appropriate disclosure category or categories and shall forward a copy of this determination to the City Clerk and Consultant. Nothing herein excuses any such Consultant from any other provision of this Conflict of Interest Code.

**CITY'S CONFLICT OF INTEREST CODE  
APPENDIX B  
DISCLOSURE CATEGORIES**

The disclosure categories established herein provide for the full range of possible disclosure situations and avoid the requirement that a designated employee disclose more than is necessary. Each disclosure category is defined by listing the specific set of reporting forms ("Schedules") issued by the State Fair Political Practices Commission. The incumbent must complete the FPPC Form 700 and designated schedules and file them with the City Clerk by April 1. The following definitions are used for the various disclosure categories:

1. A business entity or source of income is located in or doing business in Pasadena if it or a parent, subsidiary, or related business entity:
  - A. Manufactures, distributes or sells products or services on a regular basis in Pasadena.
  - B. Plans to do business in Pasadena.
  - C. Has done business in Pasadena within the previous two years.
  - D. Has an interest in real property in Pasadena.
  - E. Has an office in Pasadena.
2. An interest in real property in Pasadena means real property located in Pasadena or within two miles of Pasadena or within two miles of real property owned or used by the City of Pasadena.
3. A business entity contracts with the City if it currently contracts with the City, has contracted with the City within the past two years or plans to contract with the City.

4. The terms "interests in real property", "investments", "income", "loans", "gifts", and "business entity" as used herein have the meaning set forth in the Fair Political Practices Act and the rules and regulations promulgated thereunder.

The disclosure categories are as follows:

1. Category "1" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.) In which the reporting official's ownership interest is less than 10%.
- B. Schedule A-2 - Assets held by a business entity or trust.
- C. Schedule C - Income, loans and business positions (other than gifts).
- D. Schedule D - Income - gifts.
- E. Schedule E - Income - travel payment advances and reimbursements.

provided, that:

- A. Investments, whether held directly or indirectly by you, your spouse or your dependent children, are worth \$2,000.00 or more at any time during the reporting period and are in business entities located in or doing business in Pasadena.
- B. Sources of income aggregate \$500.00 or more and are from sources located in or doing business in Pasadena.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from sources located in or doing business in Pasadena.
- D. Such gifts, including honoraria, aggregate \$50.00 or more. For this category, gifts and honoraria must be reported regardless of whether their source is inside or outside Pasadena.

- E. Travel payments, advances and reimbursements from your employer are not reportable.

2. Category "2" Designated Employees must report:

- A. Schedule A-2 - Investments, income and assets held by business entity or trust.

provided that: Such interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are located in Pasadena.

- B. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).

3. Category "3" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Investments held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property in Pasadena.
- B. Sources of income aggregate \$500.00 or more and are from sources with an interest in real property in Pasadena.

- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from sources with an interest in real property in Pasadena.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source with an interest in real property in Pasadena.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

4. Category "4" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Investments held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that contract with the City.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

5. Category "5" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - interests in real property held by business entity or trust.
- C. Schedule B - Interests in real property other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities subject to the regulatory control of the employee (i.e., discretionary licensing, permitting, inspecting, etc.).
- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are subject to the regulatory control of the employee.
- C. Sources of income aggregate \$500.00 or more and are from a source subject to the regulatory control of the employee.
- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source subject to the regulatory control of the employee.
- E. Gifts including honoraria aggregate \$50.00 or more and are from a source subject to the regulatory control of the employee.
- F. Travel payments, advances and reimbursements from your employer are not reportable.

6. Category "6" Designated Employees must report:
- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
  - B. Schedule A-2 - Investments held by a business entity or trust.
  - C. Schedule C - Income, loans or business positions.
  - D. Schedule D - Income (gifts including honoraria).
  - E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly are worth \$2,000.00 or more and are in business entities that contract with the City for materials, supplies, labor or services used by the employee's department or the employee's department administers the contract.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City for materials, supplies, labor or services used by the employee's department or the employee's department administers the contract.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City for materials, supplies, labor or services used by the employee's department or the employee's department administers the contract.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City for materials, supplies, labor or services used by the employee's department or the employee's department administers the contract.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

7. Category "7" Designated Employees must report:



- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets.
- C. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within two miles of Brookside Park or contract with the City if the contract concerns use of facilities in Brookside Park.
- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within two miles of Brookside Park.
- C. Sources of income aggregate \$500.00 or more and are from a source with an interest in real property within two miles of Brookside Park or which contracts with the City if the contract concerns use of facilities in Brookside Park.
- D. Loans aggregate, \$500.00 or more received or outstanding during the reporting period and are from a source with an interest in real property within two miles of Brookside Park or which contracts with the City if the contract concerns use of facilities in Brookside Park.
- E. Gifts including honoraria aggregate \$50.00 or more and are from a source with an interest in real property within two

miles of Brookside Park or which contracts with the City if the contract concerns use of facilities in Brookside Park.

- F. Travel payments, advances and reimbursements from your employer are not reportable.

8. Category "8" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets held by business entity or trust.
- C. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- D. Schedule C - Income loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within half a mile of South Lake Parking Assessment District or contracts with the City if the contract relates to the South Lake Parking Assessment District or contract with the South Lake Parking Assessment District.
- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within half a mile of the South Lake Parking Assessment District.
- C. Sources of income aggregate \$500.00 or more and are from a source that has an interest in real property within a half a mile of the South Lake Parking Assessment District or contracts with the City if the contract relates to the South

Lake Parking Assessment District or contracts with the South Lake Parking Assessment District.

- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within a half a mile of the South Lake Parking Assessment District or contracts with the City if the contract relates to the South Lake Parking Assessment District or contracts with the South Lake Parking Assessment District.
- E. Gifts including honoraria aggregate \$50.00 or more and are from a source that has an interest in real property within a half a mile of the South Lake Parking Assessment District or contracts with the City if the contract relates to the South Lake Parking Assessment District or contracts with the South Lake Parking Assessment District.
- F. Travel payments, advances and reimbursements from your employer are not reportable.

9. Category "9" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's etc.).
- B. Schedule A-2 - assets held by business entity or trust
- C. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and reimbursements from your employer are not reportable.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and in business entities that have an

interest in real property within half a mile of the Pasadena Center or contracts with the City if the contract relates to the Pasadena Center Operating Company.

- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within half a mile of the Pasadena Center.
- C. Sources of income aggregate \$500.00 or more and are from a source that has an interest in real property within a half mile of the Pasadena Center or contracts with the City if the contract relates to the Pasadena Center or contracts with the Pasadena Center Operating Company.
- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within a half a mile of the Pasadena Center or contracts with the City if the contract relates to the Pasadena Center or contracts with the Pasadena Center Operating Company.
- E. Gifts including honoraria aggregate \$50.00 or more and are from a source that has an interest in real property within a half a mile of the Pasadena Center or contracts with the City if the contract relates to the Pasadena Center Operating Company.
- F. Travel payments, advances and reimbursements from your employer are not reportable.

10. Category "10" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly are worth \$2,000.00 or more and are in business entities that contract with the City if the contract involves funding for the arts. Contract includes grants for this disclosure category.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City if the contract involves funding for the arts. Contract includes grants for this disclosure category.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City if the contract involves funding for the arts. Contract includes grants for this disclosure category.
- D. Gifts including honoraria aggregate \$50.00 or more are from a source that contracts with the City if the contract involves funding for the arts. Contract includes grants for this disclosure category.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

11. Category "11" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that contract with the City if the contract involves Community Development Block Grant Funds.

- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City if the contract involves Community Development Block Grant Funds.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City if the contract involves Community Development Block Grant Funds.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City if the grant contract involves Community Development Block Grant Funds.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

12. Category "12" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that contract with the City if the contract involves the Water and Power Department.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City if the contract involves the Water and Power Department.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that

contracts with the City if the contract involves the Water and Power Department.

- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City if the contract involves the Water and Power Department.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

13. Category "13" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursement.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that contract with the City, any television or cable television broadcaster, producer or provider, if the contract involves community access, or that contract with the Pasadena Community Access Corporation.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City, any television or cable television broadcaster, producer or provider, if the contract involves community access or that contracts with the Pasadena Community Access Corporation.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City, any television or cable television broadcaster, producer or provider, if the contract involves

community access or that contracts with the Pasadena Community Access Corporation.

- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City, any television or cable television broadcaster, producer or provider, if the contract involves community access or that contracts with the Pasadena Community Access Corporation.
- E. Travel payments, advances and reimbursement from your employer are not reportable.

14. Category "14" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets held by business entity or trust.
- C. Schedule B - Interests in real property (other than those held by a business entity or trust (e.g., leasehold, beneficial or ownership interest, deed of trust, easements or an option to acquire an interest)).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances or reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within half a mile of the Rose Bowl or Arroyo Seco or contract with the City if the contract relates to the Rose Bowl or Arroyo Seco facilities or the contract is directly with the Rose Bowl Operating Company.



- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within half a mile of the Rose Bowl or Arroyo Seco.
- C. Sources or income aggregate \$500.00 or more and are from a source that has an interest in real property within half a mile of the Rose Bowl or Arroyo Seco or contract with the City if the contract relates to the Rose Bowl or Arroyo Seco facilities or contracts with the Rose Bowl Operating Company.
- D. Loans Aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within half a mile of the Rose Bowl or Arroyo Seco or contracts with the City if the contract relates to the Rose Bowl or Arroyo Seco facilities or contracts with the Rose Bowl Operating Company.
- E. Gifts including honoraria aggregate \$50.00 or more and are from a source that has an interest in real property within half a mile of the Rose Bowl or Arroyo Seco or contracts with the City if the contract relates to the Rose Bowl or Arroyo Seco facilities.
- F. Travel payments, advances and reimbursement from your employer are not reportable.

15. Category "15" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, IRA's, etc.)
- B. Schedule A-2 - Assets held by business entity or trust.
- C. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).

- F. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within half a mile of the Old Pasadena Parking Meter Zone as established in Section 10.45.100 of the Pasadena Municipal Code (hereafter referred to as "Zone").
- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are with half a mile of the Zone.
- C. Sources of income aggregate \$500.00 or more and are from a source that has an interest in real property within a half a mile of the Zone or contracts with the City if the contract relates to the Zone.
- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within a half a mile of the Zone or contracts with the City if the contract relates to the Zone.
- E. Gifts including honoraria aggregate \$50.00 or more and from a source that has an interest in real property within a half a mile of the Zone or contracts with the City if the contract relates to the Zone.
- F. Travel payments, advances and reimbursement from your employer are not reportable.

16. Category "16" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships. IRA's, etc.).
- B. Schedule A-2 - Assets held by business entity or trust
- C. Schedule B - Interests in real property (other than those held by a business entity or trust (e.g., leasehold, beneficial or

ownership interest, deed of trust, easements or an option to acquire an interest).

- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within half a mile of the Hahamongna Watershed Park or contracts with the City if the contract relates to the Hahamongna Watershed Park facilities. Company.
- B. Interest in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within half a mile of the Hahamongna Watershed Park.
- C. Sources of income aggregate \$500.00 or more and are from a source that has an interest in real property within a half a mile of the Hahamongna Watershed Park or contracts with the City if the contract relates to the Hahamongna Watershed Park facilities.
- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within half a mile of the Hahamongna Watershed Park or contracts with the City if the contract relates to the Hahamongna Watershed Park.
- E. Gifts including honoraria aggregate \$50.00 or more and are from a source that has an interest in real property within a half a mile of the Hahamongna Watershed Park or contracts with the City if the contract relates to the Hahamongna Watershed Park facilities.
- F. Travel payments, advances and reimbursement from your employer are not reportable.

17. Category "17" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g. stocks, bonds warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets held by a business or trust.
- C. Schedule B - Interests in real property, other than those held by a business entity or trust (e.g., leasehold, fee, beneficial or other ownership interest, deed of trust, easements or options to acquire an interest in real property).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are valued at \$2,000.00 or more and are in entities that have an interest in real property within two miles of the Pasadena Senior Center, located at 85 East Holly Street or any successor location of such Senior Center, or contracts with the City if the contract concerns the Senior Center or any activity or program administered at, by or through the Senior Center.
- B. Interest in real property, whether held directly or indirectly, are valued at \$2,000.00 or more and such real property is located within two miles of the Pasadena Senior Center, located at 85 E. Holly Street, or any successor location of such Senior Center.
- C. Sources of income aggregate \$500.00 or more and are from a source with an interest in real property within two miles of the Pasadena Senior Center, located at 85 E. Holly Street, or any successor location of such Senior Center, which contracts with the City if the contract concerns the Senior

Center or any activity or program administered at, by or through the Senior Center.

- D. Loans Aggregate \$500.00 or more received or outstanding during the reporting period and are from a source with an interest in real property within two miles of the Pasadena Senior Center located at 85 E. Holly Street, or any successor location of such Senior Center, or contracts with the City if the contract concerns the Senior Center or any activity or program administered at, by or through the Senior Center.
- E. Gifts or honoraria aggregate \$50.00 or more and are from a source with an interest in real property within two miles of the Pasadena Senior Center, located at 85 E. Holly Street, or any successor location of such Senior Center, or contracts with the City if the contract concerns the Senior Center or any activity or program administered at, by or through the Senior Center.
- F. Travel payments, advances and reimbursement from your employer are not reportable.

18. Category "18" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Investments held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly are worth \$2,000.00 or more and are in business entities that contract with the City for materials, supplies, labor or services used by the City Board or Committee of which the individual is a member, or said Board or Committee administers the contract.

- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City for materials, supplies, labor or services used by the City Board or Committee of which the individual is a member, or said Board or Committee administers the contract.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City for materials, supplies, labor or services used by the City Board or Committee of which the individual is a member, or said Board or Committee administers the contract.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City for materials supplies, labor or services used by the City Board or Committee of which the individual is a member, or said Board or Committee administers the contract.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

19. Category "19" Designated Individuals must report as required by Categories 1 and 2, with the additional:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Investments held by a business entity or trust.
- C. Schedule B – Real Property.
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more at any time during the reporting period and are in business entities located in or doing business in Pasadena, or if the investment is a Real Estate Investment Trust, whether held directly or indirectly or by Extended Family, are worth \$2,000 or more. Extended Family is defined as: any spouse, whether by marriage or not, domestic partner, parent, child, sibling, grandparent, aunt or uncle, niece or nephew, grandchild, or cousin.
- B. Sources of income aggregate \$500.00 or more and are from sources located in or doing business in Pasadena.
- C. Such interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are located in Pasadena.
- D. Such interests in rental property that are material. A material interest in rental property is defined as: An individual has a Material Interest in Rental Property if they, or any member of their Extended Family, own, manage or have a 5% or greater ownership stake in Rental Units in the County of Los Angeles, or if they or any member of their Extended Family owned, managed, or had a 5% or greater ownership stake in Rental Units in the County of Los Angeles in the past three (3) years. Rental Units is defined as: Any building, structure, or part thereof, or land appurtenant thereto, or any other rental property rented or offered for rent for residential purposes, whether or not such units possess a valid Certificate of Occupancy for use as rental housing, together with all Housing Services connected with use or occupancy of such Property, such as common areas and recreational facilities held out for use by the Tenant.
- E. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from sources located in or doing business in Pasadena.
- F. Such gifts, including honoraria, aggregate \$50.00 or more. For this category, gifts and honoraria must be reported regardless of whether their source is inside or outside Pasadena.

- G. Travel payments, advances and reimbursements from your employer are not reportable.

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March, 2023