

Disclosure Pursuant to the

City of Pasadena Taxpayer Protection Amendment

Pasadena City Charter, Article XVII

Contractor/Organization hereby discloses its trustees, directors, partners, officers, and those with more than 10% equity, participation, or revenue interest in Contractor/Organization, as follows:

(If printing, please print legibly. Use additional sheets as necessary.)

1. Contractor/Organization Name: Applied EarthWorks, Inc.
2. Type of Entity: <input checked="" type="checkbox"/> non-government <input type="checkbox"/> nonprofit 501(c)(3), (4), or (6)

3. Name(s) of trustees, directors, partners, officers of Contractor/Organization:

Trustees: Mary Baloian and Eric Johansen

Directors: Ron Borkan, Jessica DeBusk, Clay Lebow, Vanessa Mirro, and Barry Price

Officers: Mary Baloian (President), Eric Johansen (Treasurer), and Vanessa Mirro (Secretary)

4. Names of those with more than a 10% equity, participation or revenue interest in Contractor/Organization:

Applied EarthWorks, Inc. Employee Stock Ownership Plan (ESOP)

Prepared by: Vanessa Mirro 

Title: Vice President

Phone: (626) 578-0119

Date: March 8, 2016