

RESOLUTION No.

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF PASADENA
RELATING TO THE CALIFORNIA MODEL CONFLICT OF INTEREST CODE**

WHEREAS, the Board of Directors of the City of Pasadena adopted the standard model Conflict of Interest Code promulgated by the Fair Political Practices Commission by Resolution No. 5069 on February 21, 1984; and

WHEREAS, Resolution No. 5069 was amended by Resolution No. 6054 on December 12, 1988, by Resolution No. 6162 on June 20, 1989, by Resolution No. 6653 on January 7, 1992, by Resolution No. 6838 on December 8, 1992, by Resolution No. 7062 on February 8, 1994, by Resolution No. 7131 on June 6, 1994, by resolution 7212 on February 6, 1995, by Resolution No. 7330 on January 8, 1996, by Resolution No. 7458 on January 27, 1997, by Resolution No. 7588 on February 9, 1998, by Resolution No. 7703 on December 7, 1998, by Resolution No. 7961 on January 8, 2001, by Resolution No. 8200 on December 16, 2002 by Resolution No. 8429 on January 10, 2005, by Resolution No. 8436 on February 28, 2005, by Resolution No. 8693 on December 18, 2006, by Resolution 8716 on March 19, 2007, by Resolution 8915 on December 15, 2008 by Resolution No. 9080 on December 13, 2010, by Resolution No.

9407 on December 15, 2014, by Resolution No. 9415 on February 2, 2015 and by Resolution No. 9541 on December 12, 2016 because changes in the job titles and the duties of the affected City employees and advisory board members necessitated changes in Appendix A - Designated Employees enacted in Resolution No. 5069; and

WHEREAS, subsequent changes to the job titles and responsibilities of City employees and members of City commissions requires amendment of Appendix A -- Designated Employees.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Pasadena as follows:

1. Appendix A -- Designated Employees, enacted as part of Resolution No. 9541 is hereby repealed and a new Appendix A -- Designated Employees, attached hereto, is adopted for inclusion in the City's Conflict of Interest Code.

2. Appendix B -- Disclosure Categories, enacted as part of Resolution No. 9541, attached hereto, remains unchanged.

/ / /

/ / /

/ / /

3. This resolution shall become effective immediately.
Adopted at the regular meeting of the City Council on the
_____ day of December, 2018, by the following vote:

AYES:

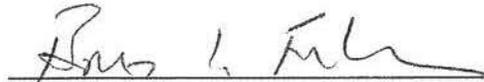
NOES:

ABSENT:

ABSTAIN:

Mark Jomsky, CMC
City Clerk

Approved as to form:



Brad L. Fuller
Assistant City Attorney

PASADENA CONFLICT OF INTEREST CODE

APPENDIX A

DESIGNATED EMPLOYEES

Positions Disclosure Categories
(Appendix B)

CITY ATTORNEY/CITY PROSECUTOR DEPARTMENT

All Attorneys	1, 2
Claims Coordinator	1, 2
Risk and Insurance Administrator	1, 2
Senior Administrative Analyst	6

CITY CLERK

City Clerk	6
Sr. Assistant City Clerk	6
Deputy City Clerk	6
Assistant City Clerk	6
Management Analyst V (Records Manager)	6
District Liaisons	1, 2
City Council District Liaisons	1, 2

CITY COUNCIL/MAYOR'S OFFICE

Administrative Analyst	6
------------------------	---

CITY MANAGER'S OFFICE

City Manager	1, 2
Assistant City Manager	1, 2
Public Information Officer	1, 2

Positions

Disclosure Categories

(Appendix B)

Economic Development Manager	1, 2
Internal Audit Manager	1, 2
Senior Project Manager	1, 2
Project Manager	1, 2
Northwest Manager	1, 2
Real Property Manager	1, 2, 6
Planner	2, 3
Management Analyst IV	2, 3
Management Analyst II	2, 3
Program Coordinator III	2, 11
Program Coordinator II	2, 11
CSD Administrator	1, 2
CSD Coordinator	1, 2

DEPARTMENT OF INFORMATION TECHNOLOGY

Chief Information Officer	1, 2
Deputy Chief Information Officer	1, 2
Telecommunications & Regulatory Administrator	6
All IT Managers	6
IT Security Officer	6

FINANCE DEPARTMENT

Director of Finance	1, 2
Deputy Director of Finance/ City Treasurer	1, 2

Positions

Disclosure Categories

(Appendix B)

Controller	1, 2
Office Support Supervisor	4, 5
Senior Executive Assistant	4, 5
Municipal Services Supervisor	4, 5
Purchasing Administrator	4
Budget Administrator	1, 2
Central Services Supervisor (Print Shop)	4, 6
Principal Administrative Analyst	4, 5
Management Analyst III	4, 5
Payroll Services Manager	6
Project Manager, Purchasing	4, 5
Fiscal Services Administrator	3

FIRE DEPARTMENT

Fire Chief	1, 2
Fire Battalion Chief	5, 6
Deputy Fire Chief	5, 6
Deputy Fire Chief/Operations	5, 6
Deputy Fire Chief/Fire Marshal	5, 6
Program Coordinator III	6
Hazardous Materials Specialist	5, 6
Management Analyst	6
Management Analyst IV/Financial Manager	6
Management Analyst III/Data Manager	6
Plans Examiner	2, 3, 5

Positions

Disclosure Categories

(Appendix B)

HOUSING DEPARTMENT

Director of Housing	1, 2
Housing Director	1, 2
Career Services Administrator	1, 2
Housing Assistance Officer	1, 2, 5
Senior Project Manager	1, 2
Project Planner	1, 2
Housing Specialist	2, 3
Work Experience Supervisor	2, 3
Management Analyst IV	2, 11
Management Analyst III	2, 11
Management Analyst II	2, 11
Management Analyst I	2, 11
Program Coordinator III	2, 11
Program Coordinator II	2, 11
Program Coordinator I	2, 11
Career Services Coordinator	2, 11

HUMAN RESOURCES DEPARTMENT

Director of Human Resources	1, 2
Human Resources Manager	1, 2
Principal HR Analyst	1, 2
Senior HR Analyst	1, 2
Management Analyst III	1, 2
HR Management Analyst II	1, 2

Positions

Disclosure Categories

(Appendix B)

Benefits Supervisor	1, 2
Safety Officer	6
Worker's Compensation Supervisor	6

HUMAN SERVICES AND RECREATION DEPARTMENT

Director of Human Services and Recreation	1, 2, 5
Deputy Director of Human Services and Recreation	1, 2, 5
Senior Administrative Specialist	1
Executive Assistant	1, 2
Management Analyst V	1, 2
Management Analyst IV	6
Community Services Supervisor II	2, 5
Community Services Supervisor I	2, 5
Human Services and Recreation Supervisor	1, 2
Recreation Supervisor	2, 5
Program Coordinator I	2, 5
Recreation Coordinator	2, 5

LIBRARIES AND INFORMATION SERVICES

Director, Library and Information Services	1, 2
Deputy Director, Library and Information Services	6
Management Analyst V (Principal Administrative Analyst)	6
Principal Librarian	6

Positions

Disclosure Categories

(Appendix B)

PLANNING AND DEVELOPMENT DEPARTMENT

Director of Planning and Community Development	1, 2, 5
Deputy Director of Planning and Community Development	1, 2, 5
Building Official	1, 2, 5
Management Analyst IV	2, 3
Management Analyst II	2, 3
Principal Planner	2, 3, 4, 5
Senior Planner	2, 3, 4
Associate Planner	2, 3, 4
Assistant Planner	2, 3, 4
Program Coordinator III	6
Program Coordinator II (Public Art Coordinator)	6
Planner	2, 3, 4
Senior Building Inspector	2, 3, 5
Building Inspector	2, 3, 5
Building Inspection Supervisor	1, 2, 5
Senior Plans Examiner	2, 3, 5
Plans Examiner	2, 3, 5
Code Compliance Manager	2, 3, 5
Senior Code Compliance Officer	2, 3, 5
Code Compliance Officer	2, 3, 5

Positions

Disclosure Categories

(Appendix B)

Executive Director for the Arts (Cultural Affairs Manager)	1, 2
Senior Project Manager	1, 2
Supervising Plan Check Engineer	2, 3, 5
Permit Services Specialist	2, 3

POLICE DEPARTMENT

Police Chief	1, 2
Deputy Police Chief	1, 2
Police Commander (Sworn & Non-Sworn)	5, 6
Management Analyst IV	2, 3

PUBLIC HEALTH DEPARTMENT

Director of Public Health	5, 6
Health Officer/ Deputy Director of Public Health	5, 6
Public Health Division Manager/ Environmental Health Services	5, 6
Public Health Division Manager/ Nursing Services	5, 6
Public Health Division Manager/ Social and Mental Health Services	5, 6
Environmental Health Program Manager	5, 6
Deputy Registrar, Vital Records	5, 6
Public Health Division Manager/ Health Policy and Promotion Development	5, 6
Environmental Health Specialist	5
Licensed Clinical Social Worker	5, 6

Positions

Disclosure Categories

(Appendix B)

PCI Substance Abuse Program Coordinator	6
W.I.C. Program Manager	6
Management Analyst V	5, 6
Management Analyst IV	5, 6
Management Analyst III	5, 6
Management Analyst II	5, 6
Management Analyst I	5, 6
Program Coordinator III	6
Program Coordinator II	6
Program Coordinator I	6
<u>DEPARTMENT OF PUBLIC WORKS</u>	
Director of Public Works	1, 2
City Engineer	1, 2
Public Works Administrator	2, 3, 4
Finance and Management Services Administrator	4, 6
Work Experience Supervisor	2, 3
Assistant City Engineer	2, 3, 6
Principal Engineer	2, 3, 6
Engineer	5, 6
Associate Engineer	2, 3
Management Analyst V	2, 3, 6
Management Analyst IV	6
311 Call Center Manager	6
Sr. Code Enforcement Officer	1, 2

Positions

Disclosure Categories

(Appendix B)

Program Coordinator	5
Capital Project Manager	5, 6
Maintenance Inspector	6
Management Analyst III	6
Project Manager (Parks & Forestry)	6
Landscape Architect	6
Public Works Crew Supervisor I, II	2, 3
Sr. Street Light & Signal Mechanic	5
Public Works Superintendent (Electrical)	2, 3
Public Works Superintendent (Building Systems and Fleet Management Div.)	2, 3
Public Works Superintendent (Street Maintenance Integrated Waste Management)	2, 3
Public Works Superintendent	2, 3
Construction Inspector I, II	5
Survey Party Chief	5
Facilities Maintenance Coordinator	6
Fleet Services Coordinator	4, 6
Fleet Maintenance Superintendent	4, 6

TRANSPORTATION DEPARTMENT

Director of Transportation	1, 2
Transportation Administrator	1, 2
Transportation Services Manager	2, 3, 6
Principal Engineer	2, 3, 6

Positions

Disclosure Categories

(Appendix B)

Senior Planner	2, 3, 4
Parking Manager	2, 3, 6
Assistant Engineer	2, 3, 4
Planner	2, 3, 4
Associate Planner	2, 3, 4
Engineer	2, 3, 4
Associate Engineer	2, 3, 4
Management Analyst IV	6
Management Analyst III	6
Management Analyst II	6

WATER AND POWER DEPARTMENT

General Manager	1, 2
Assistant General Manager	1, 2
Customer Services Manager	5, 6
Water Systems Superintendent	5, 6
Water Distribution & Construction Crew Supervisor	5, 6
Power Distribution Superintendent	5, 6
Power Distribution Manager	5, 6
Power Production Superintendent	5, 6
Power Plant Manager	5, 6
Power Production Supervisor	5, 6
Power Plant Supervisor	5, 6
Power Dispatching Supervisor	5, 6

Positions

Disclosure Categories

(Appendix B)

Power Distribution Supervisor	5, 6
Power Distribution Section Supervisor	5, 6
Manager of Planning & Analysis	5, 6
Sr. Information Systems Engineer	5, 6
IT Security Officer	5, 6
Power Production Maintenance Supervisor	5, 6
Principal Engineer	5, 6
Principal Power Dispatcher	5, 6
Energy Trader	5, 6
Assistant Engineer (Mechanical)	5, 6
Principal Chemist	5, 6
Project Manager	5, 6
Capital Projects Manager	5, 6
Engineering Manager	5, 6
Engineer (Electrical)	5, 6
Engineer	5, 6
Principal Engineering Technician	5, 6
Information Services and Technology Manager	5, 6
Electrical Engineer	5, 6
IT Manager	5, 6
Principal Electrical Engineer	5, 6
Management Analyst III	
Settlements Analyst - Power Supply	5, 6
Management Analyst IV	
Settlements Analyst - Power Supply	5, 6
Management Analyst III - Power Supply	5, 6

Positions

Disclosure Categories

(Appendix B)

Management Analyst III - Power Delivery	5, 6
Management Analyst V	5, 6
Management Analyst IV	6
Marketing Manager	5, 6
Customer Relations Manager	5, 6
Materials and Warehouse Manager	5, 6
Water Quality Manager	5, 6
Wholesale Operations Manager	5, 6
Resources Planning Manager	5, 6
Power Resource Planning Manager	5, 6
Database Administrator	5, 6
Power Resource Planner I	5, 6
Power Resource Planner II	5, 6
Principal Power Resource Planner	5, 6

ADVISORY BODIES, ALL MEMBERS

Arts and Culture Commission	10
Code Enforcement Commission	2, 3
Design Commission	1, 2
Environmental Advisory Commission	12
Historic Preservation Commission	1, 2
Human Relations Commission	1, 2
Human Services Commission	11
Northwest Commission	11
Old Pasadena Parking Meter Zone Parking Advisory Commission	15

Positions

Disclosure Categories

(Appendix B)

Planning Commission	1, 2
Recreation and Parks Commission	7, 16
Senior Commission	17
South Lake Parking Place Commission	8

ROSE BOWL OPERATING COMPANY

All Members of its Board of Directors	14
General Manager	14
Assistant General Manager	14
Chief Operations Officer	14
Chief Revenue Officer	14
Chief Financial Officer	14
Chief Development Officer	14
Director of Event Management	14
Director of Golf Operations	14
Director of Field Operations	14
Director of Facility Planning and Design	14
Director of Sales	14
Director of Major Gifts	14
Director of Communications	14
Director of Compliance	14
Golf Course Administrator	14
Event Manager	14

Positions

Disclosure Categories

(Appendix B)

~~Human Resources/Corporate Communication
Manager~~ 14

PASADENA CENTER OPERATING COMPANY

All Members of its Board of Directors 9

Chief Executive Officer 9

Executive Directors 9

Director of Finance 9

PASADENA CENTER OPERATING COMPANY

All Members of its Board of Directors 9

Chief Executive Officer 9

Executive Directors 9

Director of Finance 9

Human Resources Director 9

PASADENA COMMUNITY ACCESS CORPORATION

All Members of its Board of Directors 13

Executive Director 13

DEFERRED COMPENSATION OVERSIGHT COMMITTEE

All Members of the Committee 18

FIRE AND POLICE RETIREMENT SYSTEM BOARD

All Members of the Board 18

CONSULTANTS TO CITY

With respect to Consultants, the awarding authority shall, before awarding a contract or issuing a purchase order, determine if the services to be rendered:

1. Relate to a specific project and the Consultant

conducts research and arrives at conclusions with respect to his or her rendition of information, advice, recommendation or counsel independent of the control and direction of the City or any city official other than normal contract monitoring and the consultant possesses no authority with respect to any City decision beyond the rendition of information, advice, recommendation or counsel.

2. Relate to the daily decision-making process of the City and the Consultant plays a role in the actual decision-making process of the City.

If it is determined that the Consultant's services fall into the latter category, the awarding authority shall review the consultant's duties and make a determination of the appropriate disclosure category or categories and shall forward a copy of this determination to the City Clerk and Consultant. Nothing herein excuses any such Consultant from any other provision of this Conflict of Interest Code.

CITY'S CONFLICT OF INTEREST CODE
APPENDIX B
DISCLOSURE CATEGORIES

The disclosure categories established herein provide for the full range of possible disclosure situations and avoid the requirement that a designated employee disclose more than is necessary. Each disclosure category is defined by listing the specific set of reporting forms ("Schedules") issued by the State Fair Political Practices Commission. The incumbent must complete the FPPC Form 700 and designated schedules and file them with the City Clerk by April 1. The following definitions are used for the various disclosure categories:

1. A business entity or source of income is located in or doing business in Pasadena if it or a parent, subsidiary, or related business entity:
 - A. Manufactures, distributes or sells products or services on a regular basis in Pasadena.
 - B. Plans to do business in Pasadena.
 - C. Has done business in Pasadena within the previous two years.
 - D. Has an interest in real property in Pasadena.
 - E. Has an office in Pasadena.
2. An interest in real property in Pasadena means real property located in Pasadena or within two miles of Pasadena or within two miles of real property owned or used by the City of Pasadena.
3. A business entity contracts with the City if it currently

contracts with the City, has contracted with the City within the past two years or plans to contract with the City.

4. The terms "interests in real property", "investments", "income", "loans", "gifts", and "business entity" as used herein have the meaning set forth in the Fair Political Practices Act and the rules and regulations promulgated thereunder.

The disclosure categories are as follows:

1. Category "1" Designated Employees must report:
 - A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.) In which the reporting official's ownership interest is less than 10%.
 - B. Schedule A-2 - Assets held by a business entity or trust.
 - C. Schedule C - Income, loans and business positions (other than gifts).
 - D. Schedule D - Income - gifts.
 - E. Schedule E - Income - travel payment advances and reimbursements.

provided, that:

- A. Investments, whether held directly or indirectly by your, your spouse or your dependent children, are worth \$2,000.00 or more at any time during the reporting period and are in business entities located in or doing business in Pasadena.
- B. Sources of income aggregate \$500.00 or more and are from sources located in or doing business in Pasadena.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from sources located in or doing business in Pasadena.

- D. Such gifts, including honoraria, aggregate \$50.00 or more. For this category, gifts and honoraria must be reported regardless of whether their source is inside or outside Pasadena.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

2. Category "2" Designated Employees must report:

- A. Schedule A-2 - Investments, income and assets held by business entity or trust.

provided that: Such interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are located in Pasadena.

- B. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).

3. Category "3" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Investments held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property in Pasadena.
- B. Sources of income aggregate \$500.00 or more and are from sources with an interest in real property in Pasadena.

- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from sources with an interest in real property in Pasadena.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source with an interest in real property in Pasadena.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

4. Category "4" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Investments held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that contract with the City.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

5. Category "5" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks,

bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).

- B. Schedule A-2 - interests in real property held by business entity or trust.
- C. Schedule B - Interests in real property other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities subject to the regulatory control of the employee (i.e., discretionary licensing, permitting, inspecting, etc.).
- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are subject to the regulatory control of the employee.
- C. Sources of income aggregate \$500.00 or more and are from a source subject to the regulatory control of the employee.
- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source subject to the regulatory control of the employee.
- E. Gifts including honoraria aggregate \$50.00 or more and are from a source subject to the regulatory control of the employee.

F. Travel payments, advances and reimbursements from your employer are not reportable.

6. Category "6" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Investments held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly are worth \$2,000.00 or more and are in business entities that contract with the City for materials, supplies, labor or services used by the employee's department or the employee's department administers the contract.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City for materials, supplies, labor or services used by the employee's department or the employee's department administers the contract.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City for materials, supplies, labor or services used by the employee's department or the employee's department administers the contract.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts

with the City for materials, supplies, labor or services used by the employee's department or the employee's department administers the contract.

- E. Travel payments, advances and reimbursements from your employer are not reportable.

7. Category "7" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets.
- C. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within two miles of Brookside Park or contract with the City if the contract concerns use of facilities in Brookside Park.
- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within two miles of Brookside Park.
- C. Sources of income aggregate \$500.00 or more and are from a source with an interest in

real property within two miles of Brookside Park or which contracts with the City if the contract concerns use of facilities in Brookside Park.

- D. Loans aggregate, \$500.00 or more received or outstanding during the reporting period and are from a source with an interest in real property within two miles of Brookside Park or which contracts with the City if the contract concerns use of facilities in Brookside Park.
 - E. Gifts including honoraria aggregate \$50.00 or more and are from a source with an interest in real property within two miles of Brookside Park or which contracts with the City if the contract concerns use of facilities in Brookside Park.
 - F. Travel payments, advances and reimbursements from your employer are not reportable.
8. Category "8" Designated Employees must report:
- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
 - B. Schedule A-2 - Assets held by business entity or trust.
 - C. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
 - D. Schedule C - Income loans or business positions.
 - E. Schedule D - Income (gifts including honoraria).
 - F. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within half a mile of South Lake Parking Assessment District or contracts with the City if the contract relates to the South Lake Parking Assessment District or contract with the South Lake Parking Assessment District.
- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within half a mile of the South Lake Parking Assessment District.
- C. Sources of income aggregate \$500.00 or more and are from a source that has an interest in real property within a half a mile of the South Lake Parking Assessment District or contracts with the City if the contract relates to the South Lake Parking Assessment District or contracts with the South Lake Parking Assessment District.
- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within a half a mile of the South Lake Parking Assessment District or contracts with the City if the contract relates to the South Lake Parking Assessment District or contracts with the South Lake Parking Assessment District.
- E. Gifts including honoraria aggregate \$50.00 or more and are from a source that has an interest in real property within a half a mile of the South Lake Parking Assessment District or contracts with the City if the contract relates to the South Lake Parking Assessment District or contracts with the South Lake Parking Assessment District.
- F. Travel payments, advances and reimbursements from your employer are not reportable.

9. Category "9" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's etc.).
- B. Schedule A-2 - assets held by business entity or trust
- C. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and reimbursements from your employer are not reportable.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and in business entities that have an interest in real property within half a mile of the Pasadena Center or contracts with the City if the contract relates to the Pasadena Center Operating Company.
- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within half a mile of the Pasadena Center.
- C. Sources of income aggregate \$500.00 or more and are from a source that has an interest in real property within a half mile of the Pasadena Center or contracts with the City if the contract relates to the Pasadena Center or contracts with the Pasadena Center Operating Company.

- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within a half a mile of the Pasadena Center or contracts with the City if the contract relates to the Pasadena Center or contracts with the Pasadena Center Operating Company.
 - E. Gifts including honoraria aggregate \$50.00 or more and are from a source that has an interest in real property within a half a mile of the Pasadena Center or contracts with the City if the contract relates to the Pasadena Center Operating Company.
 - F. Travel payments, advances and reimbursements from your employer are not reportable.
10. Category "10" Designated Employees must report:
- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
 - B. Schedule A-2 - Assets held by a business entity or trust.
 - C. Schedule C - Income, loans or business positions.
 - D. Schedule D - Income (gifts including honoraria).
 - E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly are worth \$2,000.00 or more and are in business entities that contract with the City if the contract involves funding for the arts. Contract includes grants for this disclosure category.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the

City if the contract involves funding for the arts. Contract includes grants for this disclosure category.

- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City if the contract involves funding for the arts. Contract includes grants for this disclosure category.
- D. Gifts including honoraria aggregate \$50.00 or more are from a source that contracts with the City if the contract involves funding for the arts. Contract includes grants for this disclosure category.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

11. Category "11" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that contract with the City if the contract involves Community Development Block Grant Funds.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the

City if the contract involves Community Development Block Grant Funds.

- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City if the contract involves Community Development Block Grant Funds.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City if the grant contract involves Community Development Block Grant Funds.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

12. Category "12" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that contract with the City if the contract involves the Water and Power Department.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City if the contract involves the Water and Power Department.

- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City if the contract involves the Water and Power Department.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City if the contract involves the Water and Power Department.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

13. Category "13" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursement.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that contract with the City, any television or cable television broadcaster, producer or provider, if the contract involves community access, or that contract with the Pasadena Community Access Corporation.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City, any television or cable television broadcaster, producer or provider, if the contract involves community access or that

contracts with the Pasadena Community Access Corporation.

- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City, any television or cable television broadcaster, producer or provider, if the contract involves community access or that contracts with the Pasadena Community Access Corporation.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City, any television or cable television broadcaster, producer or provider, if the contract involves community access or that contracts with the Pasadena Community Access Corporation.
- E. Travel payments, advances and reimbursement from your employer are not reportable.

14. Category "14" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets held by business entity or trust.
- C. Schedule B - Interests in real property (other than those held by a business entity or trust (e.g., leasehold, beneficial or ownership interest, deed of trust, easements or an option to acquire an interest)).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances or reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within half a mile of the Rose Bowl or Arroyo Seco or contract with the City if the contract relates to the Rose Bowl or Arroyo Seco facilities or the contract is directly with the Rose Bowl Operating Company.
 - B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within half a mile of the Rose Bowl or Arroyo Seco.
 - C. Sources or income aggregate \$500.00 or more and are from a source that has an interest in real property within half a mile of the Rose Bowl or Arroyo Seco or contract with the City if the contract relates to the Rose Bowl or Arroyo Seco facilities or contracts with the Rose Bowl Operating Company.
 - D. Loans Aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within half a mile of the Rose Bowl or Arroyo Seco or contracts with the City if the contract relates to the Rose Bowl or Arroyo Seco facilities or contracts with the Rose Bowl Operating Company.
 - E. Gifts including honoraria aggregate \$50.00 or more and are from a source that has an interest in real property within half a mile of the Rose Bowl or Arroyo Seco or contracts with the City if the contract relates to the Rose Bowl or Arroyo Seco facilities.
 - F. Travel payments, advances and reimbursement from your employer are not reportable.
15. Category "15" Designated Individuals must report:
- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, IRA's, etc.)

- B. Schedule A-2 - Assets held by business entity or trust.
- C. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within half a mile of the Old Pasadena Parking Meter Zone as established in Section 10.45.100 of the Pasadena Municipal Code (hereafter referred to as "Zone").
- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are with half a mile of the Zone.
- C. Sources of income aggregate \$500.00 or more and are from a source that has an interest in real property within a half a mile of the Zone or contracts with the City if the contract relates to the Zone.
- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within a half a mile of the Zone or contracts with the City if the contract relates to the Zone.
- E. Gifts including honoraria aggregate \$50.00 or more and from a source that has an

interest in real property within a half a mile of the Zone or contracts with the City if the contract relates to the Zone.

- F. Travel payments, advances and reimbursement from your employer are not reportable.

16. Category "16" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships. IRA's, etc.).
- B. Schedule A-2 - Assets held by business entity or trust
- C. Schedule B - Interests in real property (other than those held by a business entity or trust (e.g., leasehold, beneficial or ownership interest, deed of trust, easements or an option to acquire an interest)).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within half a mile of the Hahamongna Watershed Park or contracts with the City if the contract relates to the Hahamongna Watershed Park facilities. Company.
- B. Interest in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within half a mile of the Hahamongna Watershed Park.
- C. Sources of income aggregate \$500.00 or more

and are from a source that has an interest in real property within a half a mile of the Hahamongna Watershed Park or contracts with the City if the contract relates to the Hahamongna Watershed Park facilities.

- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within half a mile of the Hahamongna Watershed Park or contracts with the City if the contract relates to the Hahamongna Watershed Park.
- E. Gifts including honoraria aggregate \$50.00 or more and are from a source that has an interest in real property within a half a mile of the Hahamongna Watershed Park or contracts with the City if the contract relates to the Hahamongna Watershed Park facilities.
- F. Travel payments, advances and reimbursement from your employer are not reportable.

17. Category "17" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g. stocks, bonds warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Assets held by a business or trust.
- C. Schedule B - Interests in real property, other than those held by a business entity or trust (e.g., leasehold, fee, beneficial or other ownership interest, deed of trust, easements or options to acquire an interest in real property).
- D. Schedule C - Income, loans or business positions.
- E. Schedule D - Income (gifts including honoraria).
- F. Schedule E - Travel payments, advances and

reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are valued at \$2,000.00 or more and are in entities that have an interest in real property within two miles of the Pasadena Senior Center, located at 85 East Holly Street or any successor location of such Senior Center, or contracts with the City if the contract concerns the Senior Center or any activity or program administered at, by or through the Senior Center.
- B. Interest in real property, whether held directly or indirectly, are valued at \$2,000.00 or more and such real property is located with two miles of the Pasadena Senior Center, located at 85 E. Holly Street, or any successor location of such Senior Center.
- C. Sources of income aggregate \$500.00 or more and are from a source with an interest in real property within two miles of the Pasadena Senior Center, located at 85 E. Holly Street, or any successor location of such Senior Center, which contracts with the City if the contract concerns the Senior Center or any activity or program administered at, by or through the Senior Center.
- D. Loans Aggregate \$500.00 or more received or outstanding during the reporting period and are from a source with an interest in real property within two miles of the Pasadena Senior Center located at 85 E. Holly Street, or any successor location of such Senior Center, or contracts with the City if the contract concerns the Senior Center or any activity or program administered at, by or through the Senior Center.
- E. Gifts or honoraria aggregate \$50.00 or more and are from a source with an interest in real property within two miles of the

Pasadena Senior Center, located at 85 E. Holly Street, or any successor location of such Senior Center, or contracts with the City if the contract concerns the Senior Center or any activity or program administered at, by or through the Senior Center.

F. Travel payments, advances and reimbursement from your employer are not reportable.

18. Category "18" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Investments held by a business entity or trust.
- C. Schedule C - Income, loans or business positions.
- D. Schedule D - Income (gifts including honoraria).
- E. Schedule E - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly are worth \$2,000.00 or more and are in business entities that contract with the City for materials, supplies, labor or services used by the City Board or Committee of which the individual is a member, or said Board or Committee administers the contract.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City for materials, supplies, labor or services used by the City Board or Committee of which the individual is a member, or said Board or Committee administers the contract.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and

are from a source that contracts with the City for materials, supplies, labor or services used by the City Board or Committee of which the individual is a member, or said Board or Committee administers the contract.

- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City for materials supplies, labor or services used by the City Board or Committee of which the individual is a member, or said Board or Committee administers the contract.
- E. Travel payments, advances and reimbursements from your employer are not reportable.