

Agenda Report

TO: CITY COUNCIL

DATE: February 28, 2005

FROM: CITY ATTORNEY

SUBJECT: REVISION OF DESIGNATED CITY OFFICIALS AND EMPLOYEES
REQUIRED TO FILE CONFLICT OF INTEREST STATEMENTS

RECOMMENDATION:

It is recommended that the City Council adopt a resolution updating those who will be required to file conflict of interest statements as pertaining to members of the Fire and Police Retirement System ("FPRS") Board, Deferred Compensation Oversight Committee, and positions in the Finance, Fire and Public Works Departments.

BACKGROUND:

The City's Conflict of Interest Code, adopted by Resolution No. 5069 as promulgated by the California Fair Political Practices Commission (FPPC), was most recently updated in January, 2005. In addition to its substantive reporting requirements, the Resolution contains two appendices. Appendix A is a list of employees, commissioners and consultants who must file reports under the Conflict of Interest Code along with the disclosure category assigned to each employee based on the type of duties that an individual performs. Appendix B contains a detailed description of the eighteen disclosure categories and the specific FPPC reports which must be filed by persons in each category.

On January 10, 2005, the City Council adopted Resolution No. 8429, and changes in departmental organization, classifications and job duties were reflected in an amended Appendix A. The attached Resolution enacts a new Appendix A which comports with the classifications, organization and job titles currently in use, and adds members of the FPRS Board and Deferred Compensation Oversight Committee. Appendix A is also being modified to include the recently created Fire Bureau Chief and other positions in the Fire Department, as well as one position in the Finance and Public Works Departments. All changes are reflected in "bold."

No employees who were previously required to file conflict of interest statements have been exempted from doing so in this revision. Appendix B has been revised to include a new reporting Category 18 which encompasses the FPRS Board and Deferred Compensation Oversight Committee.

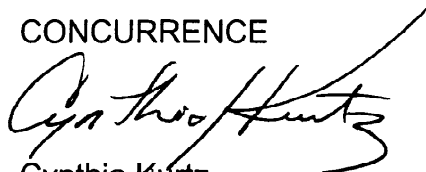
FISCAL IMPACT:

No appreciable fiscal impact is anticipated.

Respectfully submitted,


Michele Beal Bagneris, City Attorney

CONCURRENCE


Cynthia Kurtz,
City Manager

Attachments: Proposed Resolution
Conflict of Interest Code Appendix A (proposed)
Appendix B (proposed)

RESOLUTION No.

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF PASADENA
RELATING TO THE CALIFORNIA MODEL CONFLICT OF INTEREST CODE**

WHEREAS, the Board of Directors of the City of Pasadena adopted the standard model Conflict of Interest Code promulgated by the Fair Political Practices Commission by Resolution No. 5069 on February 21, 1984; and

WHEREAS, Resolution No. 5069 was amended by Resolution No. 6054 on December 12, 1988, by Resolution No. 6162 on June 20, 1989, by Resolution No. 6653 on January 7, 1992, by Resolution No. 6838 on December 8, 1992, by Resolution No. 7062 on February 8, 1994, by Resolution No. 7131 on June 6, 1994, by resolution 7212 on February 6, 1995, by Resolution No. 7330 on January 8, 1996, by Resolution No. 7458 on January 27, 1997, by Resolution No. 7588 on February 9, 1998, by Resolution No. 7703 on December 7, 1998, by Resolution No. 7961 on January 8, 2001, by Resolution No. 8200 on December 16, 2002 and by Resolution No. 8429 on January 10, 2005 because changes in the job titles and the duties of the affected City employees and advisory board members necessitated changes in Appendix A - Designated Employees and Appendix B - Disclosure Categories enacted in Resolution No. 5069; and

WHEREAS, the members of the Fire and Police Retirement System Board and the City's Deferred Compensation Oversight

Committee should be included because of their duties and responsibilities as Board and Committee members which requires amendment of Appendix A -- Designated Employees -- enacted as part of Resolution No. 7062; and

WHEREAS, certain positions in the City's Finance, Fire and Public Works Departments should also be included in the Conflict of Interest Code because of their responsibilities;

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Pasadena as follows:

1. Appendix A -- Designated Employees, enacted as part of Resolution No. 8429 is hereby repealed and a new Appendix A -- Designated Employees, attached hereto, is adopted for inclusion in the City's Conflict of Interest Code.

2. Appendix B - Disclosure Categories, enacted as part of Resolution 7588, is hereby repealed and a new Appendix B -- Disclosure Categories, attached hereto, is adopted for inclusion in the City's Conflict of Interest Code.

3. This resolution shall become effective immediately.

Adopted at the regular meeting of the City Council on the 28th day of February, 2005, by the following vote:

AYES:

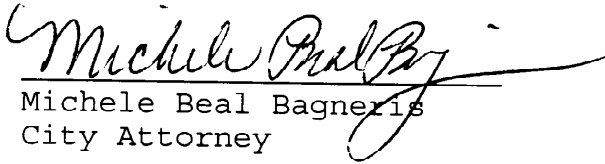
NOES:

ABSENT:

ABSTAIN:

Jane L. Rodriguez, CMC
City Clerk

Approved as to form:


Michele Beal Bagneris
City Attorney

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PASADENA CONFLICT OF INTEREST CODE

APPENDIX A

DESIGNATED EMPLOYEES

<u>Positions</u>	Disclosure Categories <u>(Appendix B)</u>
<u>CITY MANAGER'S OFFICE</u>	
City Manager	1, 2
Assistant City Manager	1, 2
Assistant to the City Manager	1, 2
Public Information Officer	1, 2
<u>CITY ATTORNEY/CITY PROSECUTOR'S DEPARTMENT</u>	
All Attorneys	1, 2
Management Analyst III (Litigation and Budget Coordinator)	6
Office Support Supervisor	6
<u>CITY CLERK'S OFFICE</u>	
City Clerk	6
Sr. Assistant City Clerk	6
Assistant City Clerk	6
Management Analyst V (Records Manager)	6
Central Services Supervisor (Mail)	4, 6
<u>FINANCE DEPARTMENT</u>	
Director of Finance	1, 2

Positions

Disclosure Categories

(Appendix B)

Deputy Finance Director	1, 2
Management Analyst V (Budget)	1, 2
City Auditor (Finance and Management Services Administrator)	6
City Treasurer	1, 2
Budget Administrator	1, 2
Accounting Administrator	4
Municipal Services Supervisor	4, 5
Management Analyst II (ITSD)	6
Purchasing Administrator	4
Claims Coordinator	1, 2
Senior Internal Auditor	6
Workers Compensation Supervisor	6
Department Information Systems Analyst III	6
Central Services Supervisor (Print Shop)	4, 6
Chief Information Technology Officer	1, 2
Information Technology Operations Manager	1, 2
Database Administrator	6
Information Technology Planning and Program Manager	6
Telecommunications Manager	6
Safety Officer	6

Positions

Disclosure Categories

(Appendix B)

FIRE DEPARTMENT

Fire Chief	1, 2
Assistant Fire Chief	5, 6
Fire Bureau Chief	5, 6
Fire Battalion Chief	5, 6
Executive Assistant Fire Chief	5, 6
Hazardous Materials Specialist	5, 6
Emergency Medical Services Coordinator	5, 6
Management Analyst IV/Financial Manager	6

HUMAN RESOURCES DEPARTMENT

Director of Human Resources	1, 2
Human Resources Manager	1, 2
Management Analyst III	1, 2
Management Analyst IV	1, 2
Employee Benefits Supervisor	6

HUMAN SERVICES AND RECREATION DEPARTMENT

Director of Human Services and Recreation	1, 2, 5
Neighborhood Services Administrator	1, 2, 5
Management Analyst V	6
Management Analyst IV	6
Management Analyst III	6

Positions

Disclosure Categories

(Appendix B)

Management Analyst II	6
Program Coordinator II	2, 5
Community Services Supervisor II	2, 5
Career Services Administrator	1, 2
Principal Operations Specialist	1
Career Services Planning and Operations Coordinator	6
Recreation Services Manager	6

INFORMATION SERVICES DEPARTMENT

Director of Library and Information Services	1, 2
Principal Librarian	6
Management Analyst V (Principal Administrative Analyst)	6

PLANNING AND DEVELOPMENT DEPARTMENT

Director of Planning and Development	1, 2, 5
Building Official	1, 2, 5
Executive Director for the Arts	1, 2
Management Analyst V	2, 3
Management Analyst IV	2, 3
Management Analyst III	2, 3
Departmental Information Services Analyst III	2, 3

Positions

Disclosure Categories

(Appendix B)

Departmental Information Services Analyst II	2, 3
Management Analyst I and II	2, 3
Permit Center Manager	2, 3
Planning Manager	2, 3
Project Planner	2, 3, 4
Principal Planner	2, 3, 4, 5
Senior Planner	2, 3, 4
Associate Planner	2, 3, 4
Assistant Planner	2, 3, 4
Program Coordinator III	6
Program Coordinator I and II	6
Planner	2, 3, 4
Senior Building Inspector	2, 3, 5
Building Inspector	2, 3, 5
Principal Plans Examiner	2, 3, 5
Senior Plans Examiner	2, 3, 5
Plans Examiner	2, 3, 5
Plan Check Engineer	2, 3, 5
Inspector Trainee	2, 3, 5
Code Compliance Manager	2, 3, 5
Senior Code Compliance Officer	2, 3, 5
Code Compliance Officer	2, 3, 5

Positions

Disclosure Categories

(Appendix B)

Work Experience Supervisor	2, 3
Northwest Manager	1, 2
Building and Neighborhood Revitalization Manager	1, 2
Development Operations Administrator	1
Housing Administrator	1, 2
Business Development Administrator	1, 2
Senior Project Manager	1, 2
Project Manager	1, 2
Housing Assistance Officer	1, 2, 5
Development Specialist	2, 3
Housing Specialist	2, 3
Real Property Manager	1, 2, 6

POLICE DEPARTMENT

Police Chief	1, 2
Deputy Chief	1, 2
Police Commander (Sworn & Non-Sworn)	5, 6

PUBLIC HEALTH DEPARTMENT

Health Officer	5, 6
Director of Public Health	5, 6

Positions

Disclosure Categories

(Appendix B)

Public Health Division Manager/ Environmental Health Services	5, 6
Environmental Health Program Manager	5, 6
Environmental Health Specialist	5
Public Health Division Manager/ Nursing Services	5, 6
Public Health Division Manager/ Communicable Disease Prevention & Control Division	5, 6
Public Health Nurse (Program Manager)	6
Public Health Laboratory Coordinator	6
Public Health Division Manager/ Health Promotion & Policy Development	5, 6
Substance Abuse Program Coordinator	6
W.I.C. Program Manager	6
Health Educator	6
Public Health Administrator	6

DEPARTMENT OF PUBLIC WORKS

Director of Public Works	1, 2
City Engineer	1, 2
Finance and Management Services Administrator	4, 6
Building Systems and Fleet Management Administrator	2, 3, 4

Positions

Disclosure Categories

(Appendix B)

Street Maintenance and Integrated Waste Management Administrator	2, 3, 4
Assistant City Engineer	2, 3, 6
Principal Engineer	2, 3, 6
Engineer	5, 6
Associate Engineer	2, 3
Management Analyst V	2, 3, 6
Management Analyst IV	6
City Seismic Retrofit Project Manger	1, 2
Parks and Natural Resources Administrator	6
Capital Project Administrator	6
Maintenance Inspector	6
Management Analyst III	6
Facilities Maintenance Coordinator	6
Landscape Architect	6
Senior Engineering Aide (Inspector)	5
Engineering Aide (Inspector)	5
Public Works Crew Supervisor I, II	2, 3
Public Works Supervisor (Electrical)	2, 3
Public Works Supervisor	2, 3
City Architect	1, 2
Construction Inspector I, II	5
Survey Party Chief	5

Positions

Disclosure Categories

(Appendix B)

Fleet Services Coordinator	4, 6
Fleet Maintenance Superintendent	4, 6
Equipment Mechanic Supervisor	4, 6

TRANSPORTATION DEPARTMENT

Director of Transportation	1, 2
Transportation Administrator	1, 2
Transportation Services Manager	2, 3, 6
Principal Engineer	6
Principal Transportation Planner	6
Senior Planner	2, 3, 4
Parking Manager	2, 3, 6
Transportation Planner	2, 3, 4
Planner	2, 3, 4
Associate Engineer	2, 3, 4
Management Analyst IV	6
Management Analyst III	6
Management Analyst II	6

WATER AND POWER DEPARTMENT

General Manager	1, 2
Assistant General Manager	1, 2
Business Unit Director	1, 2

Positions

Disclosure Categories

(Appendix B)

Utility Services Advisor Supervisor	5, 6
Construction Inspector I, II	5
Customer Services Manager	5, 6
Water Systems Superintendent	5, 6
Principal Engineer	5, 6
Principal Power Dispatcher	5, 6
Engineer	5, 6
Management Analyst IV	6
Marketing Manager	5, 6
Materials and Warehouse Manager	5, 6
Wholesale Operations Manager	5, 6

ADVISORY BODIES, ALL MEMBERS

Arts Commission	10
Code Enforcement Commission	2, 3
Deferred Compensation Oversight Committee	18
Design Commission	1, 2
Fire and Police Retirement System Board	18
Historic Preservation Commission	1, 2
Human Relations Commission	1, 2
Human Services Commission	11
Northwest Commission	11

Positions

Disclosure Categories

(Appendix B)

Old Pasadena Parking Meter Zone Parking Advisory Commission	15
Recreation and Parks Commission	7, 16
Planning Commission	1, 2
Senior Commission	17
South Lake Parking Place Commission	8
Utility Advisory Commission	12

ROSE BOWL OPERATING COMPANY

All Members of Its Board of Directors	14
General Manager	14
Assistant General Manager	14
Golf Course Administrator	14
Event Manager	14
Chief Financial Officer	14
Human Resources/Corporate Communication Manager	14

PASADENA CENTER OPERATING COMPANY

All Members of its Board of Directors	9
Chief Executive Officer	9
Executive Directors	9
Director of Finance	9

Positions

Disclosure Categories

(Appendix B)

Human Resources Director 9

PASADENA COMMUNITY ACCESS CORPORATION

All Members of its Board of Directors 13

Executive Director 13

CONSULTANTS TO CITY

With respect to Consultants, the awarding authority shall, before awarding a contract or issuing a purchase order, determine if the services to be rendered:

1. Relate to a specific project and the Consultant conducts research and arrives at conclusions with respect to his or her rendition of information, advice, recommendation or counsel independent of the control and direction of the City or any city official other than normal contract monitoring and the consultant possesses no authority with respect to any City decision beyond the rendition of information, advice, recommendation or counsel.

2. Relate to the daily decision-making process of the City and the Consultant plays a role in the actual decision-making process of the City.

If it is determined that the Consultant's services fall into

the latter category, the awarding authority shall review the consultant's duties and make a determination of the appropriate disclosure category or categories and shall forward a copy of this determination to the City Clerk and Consultant. Nothing herein excuses any such Consultant from any other provision of this Conflict of Interest Code.

COMMUNITY DEVELOPMENT COMMISSION'S CONFLICT OF INTEREST CODE

APPENDIX A

DESIGNATED EMPLOYEES

<u>Positions</u>	<u>Disclosure Categories (Appendix B)</u>
MEMBERS OF THE COMMUNITY DEVELOPMENT COMMITTEE	1, 2
TENANT COMMISSIONERS	1, 2

CONSULTANTS TO COMMUNITY DEVELOPMENT COMMISSION AND
AND COMMUNITY DEVELOPMENT COMMITTEE

With respect to Consultants, the Community Development Commission or Community Development Committee shall, before awarding a contract or issuing a purchase order, determine if the services to be rendered:

1. Relate to a specific project and the Consultant conducts research and arrives at conclusions with respect to his or her rendition of information, advice, recommendation or counsel independent of the control and direction of the Commission or Committee or any Commission or Committee official other than normal contract monitoring and the consultant possesses no authority with respect to any Commission or Committee decision beyond the rendition of information, advice, recommendation or counsel.

CITY'S CONFLICT OF INTEREST CODE
APPENDIX B
DISCLOSURE CATEGORIES

The disclosure categories established herein provide for the full range of possible disclosure situations and avoid the requirement that a designated employee disclose more than is necessary. Each disclosure category is defined by listing the specific set of reporting forms ("Schedules") issued by the State Fair Political Practices Commission. The incumbent must complete the FPPC Form 700 and designated schedules and file them with the City Clerk by April 1. The following definitions are used for the various disclosure categories:

1. A business entity or source of income is located in or doing business in Pasadena if it or a parent, subsidiary, or related business entity:
 - A. Manufactures, distributes or sells products or services on a regular basis in Pasadena.
 - B. Plans to do business in Pasadena.
 - C. Has done business in Pasadena within the previous two years.
 - D. Has an interest in real property in Pasadena.
 - E. Has an office in Pasadena.
2. An interest in real property in Pasadena means real property located in Pasadena or within two miles of Pasadena or within two miles of real property owned or used by the City of Pasadena.

3. A business entity contracts with the City if it currently contracts with the City, has contracted with the City within the past two years or plans to contract with the City.
4. The terms "interests in real property", "investments", "income", "loans", "gifts", and "business entity" as used herein have the meaning set forth in the Fair Political Practices Act and the rules and regulations promulgated thereunder.

The disclosure categories are as follows:

1. Category "1" Designated Employees must report:
 - A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.) In which the reporting official's ownership interest is less than 10%.
 - B. Schedule A-2 - Assets held by a business entity trust.
 - C. Schedule C - Income and business positions (other than gifts and loans).
 - D. Schedule D - Income (loans).
 - E. Schedule E - Income - gifts.
 - F. Schedule F - Income - travel payment advances and reimbursements.

provided, that:

- A. Investments, whether held directly or indirectly by you, your spouse or your dependent children, are worth \$2,000.00 or more at any time during the reporting period and are in business entities located in or doing business in Pasadena.
- B. Sources of income aggregate \$500.00 or more and are from sources located in or doing business in Pasadena.

- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from sources located in or doing business in Pasadena.
- D. Such gifts, including honoraria, aggregate \$50.00 or more. For this category, gifts and honoraria must be reported regardless of whether their source is inside or outside Pasadena.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

2. Category "2" Designated Employees must report:

- A. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- B. Schedule A-2 - Investments, income and assets held by business entity or trust.

provided that: Such interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are located in Pasadena.

3. Category "3" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Investments held by a business entity or trust.
- C. Schedule C - Income (other than gifts and loans).
- D. Schedule D - Income (loans).
- E. Schedule E - Income (gifts including honoraria).
- F. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property in Pasadena.

- B. Sources of income aggregate \$500.00 or more and are from sources with an interest in real property in Pasadena.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from sources with an interest in real property in Pasadena.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source with an interest in real property in Pasadena.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

4. Category "4" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Investments held by a business entity or trust.
- C. Schedule C - Income (other than gifts and loans).
- D. Schedule D - Income (loans).
- E. Schedule E - Income (gifts including honoraria).
- F. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that contract with the City.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City.

E. Travel payments, advances and reimbursements from your employer are not reportable.

5. Category "5" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- C. Schedule A-2 - interests in real property held by business entity or trust.
- D. Schedule C - Income (other than gifts and loans).
- E. Schedule D - Income (loans).
- F. Schedule E - Income (gifts including honoraria).
- G. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities subject to the regulatory control of the employee (i.e., discretionary licensing, permitting, inspecting, etc.).
- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are subject to the regulatory control of the employee.
- C. Sources of income aggregate \$500.00 or more and are from a source subject to the regulatory control of the employee.
- D. Loans aggregate \$500.00 or more received or

outstanding during the reporting period and are from a source subject to the regulatory control of the employee.

- E. Gifts including honoraria aggregate \$50.00 or more and are from a source subject to the regulatory control of the employee.
- F. Travel payments, advances and reimbursements from your employer are not reportable.

6. Category "6" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Investments held by a business entity or trust.
- C. Schedule C - Income (other than gifts and loans).
- D. Schedule D - Income (loans).
- E. Schedule E - Income (gifts including honoraria).
- F. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly are worth \$2,000.00 or more and are in business entities that contract with the City for materials, supplies, labor or services used by the employee's department or the employee's department administers the contract.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City for materials, supplies, labor or services used by the employee's department or the employee's department administers the contract.

- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City for materials, supplies, labor or services used by the employee's department or the employee's department administers the contract.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City for materials, supplies, labor or services used by the employee's department or the employee's department administers the contract.
- E. Travel payments, advances and reimbursements from your employer are not reportable.

7. Category "7" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- C. Schedule A-2 - Assets.
- D. Schedule C - Income (other than gifts and loans).
- E. Schedule D - Income (loans).
- F. Schedule E - Income (gifts including honoraria).
- G. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an

interest in real property within two miles of Brookside Park or contract with the City if the contract concerns use of facilities in Brookside Park.

- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within two miles of Brookside Park.
- C. Sources of income aggregate \$500.00 or more and are from a source with an interest in real property within two miles of Brookside Park or which contracts with the City if the contract concerns use of facilities in Brookside Park.
- D. Loans aggregate, \$500.00 or more received or outstanding during the reporting period and are from a source with an interest in real property within two miles of Brookside Park or which contracts with the City if the contract concerns use of facilities in Brookside Park.
- E. Gifts including honoraria aggregate \$50.00 or more and are from a source with an interest in real property within two miles of Brookside Park or which contracts with the City if the contract concerns use of facilities in Brookside Park.
- F. Travel payments, advances and reimbursements from your employer are not reportable.

8. Category "8" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- C. Schedule A-2 - Assets held by business entity

or trust.

- D. Schedule C - Income (other than gifts and loans).
- E. Schedule D - Income (loans).
- F. Schedule E - Income (gifts including honoraria).
- G. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within half a mile of South Lake Parking Assessment District or contracts with the City if the contract relates to the South Lake Parking Assessment District or contract with the South Lake Parking Assessment District.
- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within half a mile of the South Lake Parking Assessment District.
- C. Sources of income aggregate \$500.00 or more and are from a source that has an interest in real property within a half a mile of the South Lake Parking Assessment District or contracts with the City if the contract relates to the South Lake Parking Assessment District or contracts with the South Lake Parking Assessment District.
- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within a half a mile of the South Lake Parking Assessment District or contracts with the City if the contract relates to the South Lake Parking Assessment District or contracts with the South Lake Parking Assessment District.

- E. Gifts including honoraria aggregate \$50.00 or more and are from a source that has an interest in real property within a half a mile of the South Lake Parking Assessment District or contracts with the City if the contract relates to the South Lake Parking Assessment District or contracts with the South Lake Parking Assessment District.
- F. Travel payments, advances and reimbursements from your employer are not reportable.

9. Category "9" Designated Employees must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's etc.).
- B. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- C. Schedule A-2 - assets held by business entity or trust.
- D. Schedule C - Income (other than gifts and loans).
- E. Schedule D - Income (loans).
- F. Schedule E - Income (gifts including honoraria).
- G. Travel payments, advances and reimbursements from your employer are not reportable.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and in business entities that have an interest in real property within half a mile of the Pasadena Center or contracts with the City if the contract relates to the Pasadena Center Operating Company.

- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within half a mile of the Pasadena Center.
 - C. Sources of income aggregate \$500.00 or more and are from a source that has an interest in real property within a half mile of the Pasadena Center or contracts with the City if the contract relates to the Pasadena Center or contracts with the Pasadena Center Operating Company.
 - D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within a half a mile of the Pasadena Center or contracts with the City if the contract relates to the Pasadena Center or contracts with the Pasadena Center Operating Company.
 - E. Gifts including honoraria aggregate \$50.00 or more and are from a source that has an interest in real property within a half a mile of the Pasadena Center or contracts with the City if the contract relates to the Pasadena Center Operating Company.
 - F. Travel payments, advances and reimbursements from your employer are not reportable.
10. Category "10" Designated Employees must report:
- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
 - B. Schedule A-2 - Assets held by a business entity or trust.
 - C. Schedule C - Income (other than gifts and loans).
 - D. Schedule D - Income (loans).
 - E. Schedule E - Income (gifts including

honoraria).

- F. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly are worth \$2,000.00 or more and are in business entities that contract with the City if the contract involves funding for the arts. Contract includes grants for this disclosure category.
 - B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City if the contract involves funding for the arts. Contract includes grants for this disclosure category.
 - C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City if the contract involves funding for the arts. Contract includes grants for this disclosure category.
 - D. Gifts including honoraria aggregate \$50.00 or more are from a source that contracts with the City if the contract involves funding for the arts. Contract includes grants for this disclosure category.
 - E. Travel payments, advances and reimbursements from your employer are not reportable.
11. Category "11" Designated Employees must report:
- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
 - B. Schedule A-2 - Assets held by a business entity or trust.
 - C. Schedule C - Income (other than gifts and loans).

- D. Schedule D - Income (loans).
- E. Schedule E - Income (gifts including honoraria).
- F. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that contract with the City if the contract involves Community Development Block Grant Funds.
 - B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City if the contract involves Community Development Block Grant Funds.
 - C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City if the contract involves Community Development Block Grant Funds.
 - D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City if the grant contract involves Community Development Block Grant Funds.
 - E. Travel payments, advances and reimbursements from your employer are not reportable.
12. Category "12" Designated Employees must report:
- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
 - B. Schedule A-2 - Assets held by a business entity or trust.
 - C. Schedule C - Income (other than gifts and loans).
 - D. Schedule D - Income (loans).

- E. Schedule E - Income (gifts including honoraria).
- F. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that contract with the City if the contract involves the Water and Power Department.
 - B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City if the contract involves the Water and Power Department.
 - C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City if the contract involves the Water and Power Department.
 - D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City if the contract involves the Water and Power Department.
 - E. Travel payments, advances and reimbursements from your employer are not reportable.
13. Category "13" Designated Employees must report:
- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
 - B. Schedule A-2 - Assets held by a business entity or trust.
 - C. Schedule C - Income (other than gifts and loans).
 - D. Schedule D - Income (loans).

- E. Schedule E - Income (gifts including honoraria).
- F. Schedule F - Travel payments, advances and reimbursement.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that contract with the City, any television or cable television broadcaster, producer or provider, if the contract involves community access, or that contract with the Pasadena Community Access Corporation.
 - B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City, any television or cable television broadcaster, producer or provider, if the contract involves community access or that contracts with the Pasadena Community Access Corporation.
 - C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City, any television or cable television broadcaster, producer or provider, if the contract involves community access or that contracts with the Pasadena Community Access Corporation.
 - D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City, any television or cable television broadcaster, producer or provider, if the contract involves community access or that contracts with the Pasadena Community Access Corporation.
 - E. Travel payments, advances and reimbursement from your employer are not reportable.
14. Category "14" Designated Individuals must report:
- A. Schedule A-1 - Investments (e.g., stocks,

bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).

- B. Schedule B - Interests in real property (other than those held by a business entity or trust (e.g., leasehold, beneficial or ownership interest, deed of trust, easements or an option to acquire an interest)).
- C. Schedule A-2 - Assets held by business entity or trust.
- D. Schedule C - Income (other than gifts and loans).
- E. Schedule D - Income (loans).
- F. Schedule E - Income (gifts including honoraria).
- G. Schedule F - Travel payments, advances or reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within half a mile of the Rose Bowl or Arroyo Seco or contract with the City if the contract relates to the Rose Bowl or Arroyo Seco facilities or the contract is directly with the Rose Bowl Operating Company.
- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within half a mile of the Rose Bowl or Arroyo Seco.
- C. Sources or income aggregate \$500.00 or more and are from a source that has an interest in real property within half a mile of the Rose Bowl or Arroyo Seco or contract with the City if the contract relates to the Rose Bowl or Arroyo Seco facilities or contracts with the Rose Bowl Operating Company.

- D. Loans Aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within half a mile of the Rose Bowl or Arroyo Seco or contracts with the City if the contract relates to the Rose Bowl or Arroyo Seco facilities or contracts with the Rose Bowl Operating Company.
- E. Gifts including honoraria aggregate \$50.00 or more and are from a source that has an interest in real property within half a mile of the Rose Bowl or Arroyo Seco or contracts with the City if the contract relates to the Rose Bowl or Arroyo Seco facilities.
- F. Travel payments, advances and reimbursement from your employer are not reportable.

15. Category "15" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, IRA's, etc.)
- B. Schedule B - Interests in real property (other than those held by a business entity or trust) (e.g., leasehold, beneficial or ownership interest, deed of trust, easements, or an option to acquire an interest).
- C. Schedule A-2 - Assets held by business entity or trust.
- D. Schedule C - Income (other than gifts and loans).
- E. Schedule D - Income (loans).
- F. Schedule E - Income (gifts including honoraria).
- G. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments whether held directly or

indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within half a mile of the Old Pasadena Parking Meter Zone as established in Section 10.45.100 of the Pasadena Municipal Code (hereafter referred to as "Zone").

- B. Interests in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are with half a mile of the Zone.
- C. Sources of income aggregate \$500.00 or more and are from a source that has an interest in real property within a half a mile of the Zone or contracts with the City if the contract relates to the Zone.
- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within a half a mile of the Zone or contracts with the City if the contract relates to the Zone.
- E. Gifts including honoraria aggregate \$50.00 or more and from a source that has an interest in real property within a half a mile of the Zone or contracts with the City if the contract relates to the Zone.
- F. Travel payments, advances and reimbursement from your employer are not reportable.

16. Category "16" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships. IRA's, etc.).
- B. Schedule B - Interests in real property (other than those held by a business entity or trust (e.g., leasehold, beneficial or ownership interest, deed of trust, easements or an option to acquire an interest)).
- C. Schedule A-2 - Assets held by business entity or trust.

- D. Schedule C - Income (other than gifts and loans).
- E. Schedule D - Income (loans).
- F. Schedule E - Income (gifts including honoraria).
- G. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are worth \$2,000.00 or more and are in business entities that have an interest in real property within half a mile of the Hahamongna Watershed Park or contracts with the City if the contract relates to the Hahamongna Watershed Park facilities or the contract is directly with the Hahamongna Operating Company.
- B. Interest in real property, whether held directly or indirectly, are worth \$2,000.00 or more and are within half a mile of the Hahamongna Watershed Park.
- C. Sources of income aggregate \$500.00 or more and are from a source that has an interest in real property within a half a mile of the Hahamongna Watershed Park or contracts with the City if the contract relates to the Hahamongna Watershed Park facilities or contracts with the Hahamongna Operating Company.
- D. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that has an interest in real property within half a mile of the Hahamongna Watershed Park or contracts with the City if the contract relates to the Hahamongna Watershed Park or contracts with the Hahamongna Operating Company.
- E. Gifts including honoraria aggregate \$50.00 or

more and are from a source that has an interest in real property within a half a mile of the Hahamongna Watershed Park or contracts with the City if the contract relates to the Hahamongna Watershed Park facilities.

F. Travel payments, advances and reimbursement from your employer are not reportable.

17. Category "17" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g. stocks, bonds warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule B - Interests in real property, other than those held by a business entity or trust (e.g., leasehold, fee, beneficial or other ownership interest, deed of trust, easements or options to acquire an interest in real property).
- C. Schedule A-2 - Assets held by a business entity of trust.
- D. Schedule C - Income (other than gifts or loans).
- E. Schedule D - Income (loans).
- F. Schedule E - Income (gifts including honoraria).
- G. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly, are valued at \$2,000.00 or more and are in entities that have an interest in real property within two miles of the Pasadena Senior Center, located at 85 East Holly Street or any successor location of such Senior Center, or contracts with the City if the contract concerns the Senior Center or any activity or program

administered at, by or through the Senior Center.

- B. Interest in real property, whether held directly or indirectly, are valued at \$2,000.00 or more and such real property is located within two miles of the Pasadena Senior Center, located at 85 E. Holly Street, or any successor location of such Senior Center.
- C. Sources of income aggregate \$500.00 or more and are from a source with an interest in real property within two miles of the Pasadena Senior Center, located at 85 E. Holly Street, or any successor location of such Senior Center, which contracts with the City if the contract concerns the Senior Center or any activity or program administered at, by or through the Senior Center.
- D. Loans Aggregate \$500.00 or more received or outstanding during the reporting period and are from a source with an interest in real property within two miles of the Pasadena Senior Center located at 85 E. Holly Street, or any successor location of such Senior Center, or contracts with the City if the contract concerns the Senior Center or any activity or program administered at, by or through the Senior Center.
- E. Gifts or honoraria aggregate \$50.00 or more and are from a source with an interest in real property within two miles of the Pasadena Senior Center, located at 85 E. Holly Street, or any successor location of such Senior Center, or contracts with the City if the contract concerns the Senior Center or any activity or program administered at, by or through the Senior Center.
- F. Travel payments, advances and reimbursement from your employer are not reportable.

18. Category "18" Designated Individuals must report:

- A. Schedule A-1 - Investments (e.g., stocks, bonds, warrants, options, debt instruments, partnerships, IRA's, etc.).
- B. Schedule A-2 - Investments held by a business entity or trust.
- C. Schedule C - Income (other than gifts and loans).
- D. Schedule D - Income (loans).
- E. Schedule E - Income (gifts including honoraria).
- F. Schedule F - Travel payments, advances and reimbursements.

provided that:

- A. Investments, whether held directly or indirectly are worth \$2,000.00 or more and are in business entities that contract with the City for materials, supplies, labor or services used by the City Board or Committee of which the individual is a member, or said Board or Committee administers the contract.
- B. Sources of income aggregate \$500.00 or more and are from a source that contracts with the City for materials, supplies, labor or services used by the City Board or Committee of which the individual is a member, or said Board or Committee administers the contract.
- C. Loans aggregate \$500.00 or more received or outstanding during the reporting period and are from a source that contracts with the City for materials, supplies, labor or services used by the City Board or Committee of which the individual is a member, or said Board or Committee administers the contract.
- D. Gifts including honoraria aggregate \$50.00 or more and are from a source that contracts with the City for materials supplies, labor

or services used by the City Board or Committee of which the individual is a member, or said Board or Committee administers the contract.

- E. Travel payments, advances and reimbursements from your employer are not reportable.